

Deterministic Finite Automata Finite Automaton (FA) ? QD = All reachable subsets of QE factoring in ?-closures

2. Idea: To avoid enumerating each member of power set, do "lazy creation of states".

31 $q_0 \xrightarrow{0} q_0, q_0 \xrightarrow{1} q_1$ NFA: $N = (Q, \Sigma, \delta, q_0, F)$ where $Q = \{q_0, q_1\}$, $\Sigma = \{0, 1\}$, $\delta(q_0, 0) = q_0$, $\delta(q_0, 1) = q_1$, $\delta(q_1, 0) = q_1$, $\delta(q_1, 1) = q_2$, q_2 is a new state. DFA: $D = (Q', \Sigma, \delta', q_0, F)$ where $Q' = \{q_0, q_1, q_2\}$, $\delta'(q_0, 0) = q_0$, $\delta'(q_0, 1) = q_1$, $\delta'(q_1, 0) = q_1$, $\delta'(q_1, 1) = q_2$, $\delta'(q_2, 0) = q_2$, $\delta'(q_2, 1) = q_2$, $F = \{q_2\}$.

Correctness of subset construction Theorem: If D is the DFA constructed from NFA N by subset construction, then $L(D) = L(N)$

? Proof: ? Show that $\delta^D(\{q_0\}, w) = \delta^N(q_0, w)$, for all w ? Using induction on w's length: ? Let $w = xa$? $\delta^D(\{q_0\}, xa) = \delta^D(\delta^D(\{q_0\}, x), a) = \delta^N(q_0, x, a) = \delta^N(q_0, w)$

32 A bad case where $\#states(DFA) \gg \#states(NFA)$? $L = \{w \mid w \text{ is a binary string such that, the } k\text{th symbol from its end is a } 1\}$? NFA has $k+1$ states ? But an equivalent DFA needs to have at least 2^k states (Pigeon hole principle) ? m holes and $>m$ pigeons \Rightarrow at least one hole has to contain two or more pigeons

33 o An application: Text Search Applications ? Text indexing ? inverted indexing ? For each unique word in the database, store all locations that contain it using an NFA or a DFA ? Find pattern P in text T ? Example: Google querying ? Extensions of this idea: ? PATRICIA tree, suffix tree

35 Advantages & Caveats for NFA ? Great for modeling regular expressions ? String processing – e.g., grep, lexical analyzer ? Could a non-deterministic state machine be implemented in practice? ? Probabilistic models could be viewed as extensions of non deterministic state machines (e.g., toss of a coin, a roll of dice) ? They are not the same though ? A parallel computer could exist in multiple "states" at the same time

36 A few properties of DFAs and NFAs ? A clamping circuit waits for a "1" input, and turns on forever. However, to avoid clamping on spurious noise, we'll design a DFA that waits for two consecutive 1s in a row before clamping on. ? Build a DFA for the following language: $L = \{w \mid w \text{ is a bit string which contains the substring } 11\}$? State Design: ? q_0 : start state (initially off), also means the most recent input was not a 1 ? q_1 : has never seen 11 but the most recent input was a 1 ? q_2 : has seen 11 at least once ? Example #3 ? Build a DFA for the following language: $L = \{w \mid w \text{ is a binary string that has even number of 1s and even number of 0s}\}$

14 Extension of transitions to paths ? $\delta(q, w)$ = destination state from state q on input string w. ? $\delta(q, wa) = \delta(\delta(q, w), a)$? Work out example #3 using the input sequence $w = 10010$, $a = 1$: ? $\delta(q_0, wa) = ?$

15 Language of a DFA A DFA A accepts string w if there is a path from q_0 to an accepting (or final) state that is labeled by w. ? i.e., $L(A) = \{w \mid \delta(q_0, w) \in F\}$? i.e., $L(A)$ = all strings that lead to an accepting state from q_0 .

16 o Non-Deterministic Finite Automaton Non-deterministic Finite Automata (NFA) ? A Non-deterministic Finite Automaton (NFA) is called non-deterministic because the machine can exist in more than one state at the same time. ? Transitions could be non-deterministic ? Each transition function therefore maps to a set of states.

18 $q_i \xrightarrow{1} q_j, q_i \xrightarrow{1} q_k, \dots$ Non-deterministic Finite Automata (NFA) ? An NFA consists of: ? Q = A finite set of states ? Σ = A finite set of input symbols (alphabet) ? q_0 = A start state ? F = Set of accepting states ? δ = A transition function, which is a mapping between $Q \times \Sigma \rightarrow \text{subset of } Q$? An NFA is also defined by the 5-tuple: $(Q, \Sigma, q_0, F, \delta)$

19 How to use an NFA? ? Input: a word w in Σ^* ? Question: Is w accepted by the NFA? ? Steps: ? Start at the start state q_0 ? For every input symbol in the word w do ? Determine all possible next states from all current states, given the current input symbol in w and the transition function ? If after all symbols in w are consumed and if at least one of the current states is a final state then accept w; ? Otherwise, reject w.

20 NFA for strings containing 01

21 q_0 start q_1 0, 1 q_2 Final state

o $Q = \{q_0, q_1, q_2\}$ o $\Sigma = \{0, 1\}$ o start state = q_0 o $F = \{q_2\}$ o Transition

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